



22763 U.S.PTO
032904

10/8/11672
15964 US PTO



Vidas, Arrett & Steinkraus Utility Patent Application Transmittal		Atty. Docket No	H01.2-11499																																																		
		First Inventor	Sebastian Huther et al																																																		
		Title:	A BRAKING SYSTEM FOR A BATTERY POWERED INDUSTRIAL TRUCK																																																		
		Express Mail Label No.	EV441613644US																																																		
Application Elements		Address To:	Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450																																																		
<table> <tbody> <tr> <td>1. <input checked="" type="checkbox"/> Fee Transmittal Form</td> <td>Pages 2</td> </tr> <tr> <td> <input checked="" type="checkbox"/> Check Included</td> <td></td> </tr> <tr> <td>2. <input type="checkbox"/> Applicant claims small entity status</td> <td></td> </tr> <tr> <td>3. <input checked="" type="checkbox"/> Specification (including 0 pg cover sheet, 7 pg description, 2 pg claims and 1 pg abstract)</td> <td>Pages 10</td> </tr> <tr> <td>4. <input checked="" type="checkbox"/> Drawings</td> <td>Pages 1</td> </tr> <tr> <td>5. <input checked="" type="checkbox"/> Oath or Declaration</td> <td>Pages 2</td> </tr> <tr> <td> a. <input checked="" type="checkbox"/> Newly executed (original or copy)</td> <td></td> </tr> <tr> <td> b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 19 completed)</td> <td></td> </tr> <tr> <td> i. <input type="checkbox"/> Deletion of Inventor(s) – signed statement attached deleting inventors named in the prior application</td> <td>Pages</td> </tr> <tr> <td>6. <input checked="" type="checkbox"/> Application Data Sheet</td> <td>Pages 3</td> </tr> <tr> <td>7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents and check)</td> <td>Pages 2</td> </tr> <tr> <td> <input type="checkbox"/> Previously recorded on _____, Reel _____, Frames _____</td> <td></td> </tr> <tr> <td>8. <input checked="" type="checkbox"/> Power of Attorney</td> <td>Pages 1</td> </tr> <tr> <td> <input checked="" type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee)</td> <td>Pages 3</td> </tr> <tr> <td>9. <input checked="" type="checkbox"/> English Translation Document</td> <td>Pages 15</td> </tr> <tr> <td>10. <input type="checkbox"/> Information Disclosure Statement</td> <td>Pages</td> </tr> <tr> <td> <input type="checkbox"/> Copies of Citations (_____ references)</td> <td></td> </tr> <tr> <td>11. <input type="checkbox"/> Preliminary Amendment</td> <td>Pages</td> </tr> <tr> <td>12. <input checked="" type="checkbox"/> Return Receipt Postcard</td> <td>Pages 1</td> </tr> <tr> <td>13. <input type="checkbox"/> Certified Copy of Priority Document</td> <td>Pages</td> </tr> <tr> <td>14. <input type="checkbox"/> Nonpublication Request</td> <td>Pages</td> </tr> <tr> <td>15. <input checked="" type="checkbox"/> Constructive Petition</td> <td>Pages 1</td> </tr> <tr> <td>16. <input type="checkbox"/> Limited Authorization</td> <td>Pages</td> </tr> <tr> <td>17. <input checked="" type="checkbox"/> VAS Utility Patent Application Transmittal</td> <td>Pages 1</td> </tr> <tr> <td>18. <input type="checkbox"/> Other</td> <td>Pages</td> </tr> </tbody> </table>				1. <input checked="" type="checkbox"/> Fee Transmittal Form	Pages 2	<input checked="" type="checkbox"/> Check Included		2. <input type="checkbox"/> Applicant claims small entity status		3. <input checked="" type="checkbox"/> Specification (including 0 pg cover sheet, 7 pg description, 2 pg claims and 1 pg abstract)	Pages 10	4. <input checked="" type="checkbox"/> Drawings	Pages 1	5. <input checked="" type="checkbox"/> Oath or Declaration	Pages 2	a. <input checked="" type="checkbox"/> Newly executed (original or copy)		b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 19 completed)		i. <input type="checkbox"/> Deletion of Inventor(s) – signed statement attached deleting inventors named in the prior application	Pages	6. <input checked="" type="checkbox"/> Application Data Sheet	Pages 3	7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents and check)	Pages 2	<input type="checkbox"/> Previously recorded on _____, Reel _____, Frames _____		8. <input checked="" type="checkbox"/> Power of Attorney	Pages 1	<input checked="" type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee)	Pages 3	9. <input checked="" type="checkbox"/> English Translation Document	Pages 15	10. <input type="checkbox"/> Information Disclosure Statement	Pages	<input type="checkbox"/> Copies of Citations (_____ references)		11. <input type="checkbox"/> Preliminary Amendment	Pages	12. <input checked="" type="checkbox"/> Return Receipt Postcard	Pages 1	13. <input type="checkbox"/> Certified Copy of Priority Document	Pages	14. <input type="checkbox"/> Nonpublication Request	Pages	15. <input checked="" type="checkbox"/> Constructive Petition	Pages 1	16. <input type="checkbox"/> Limited Authorization	Pages	17. <input checked="" type="checkbox"/> VAS Utility Patent Application Transmittal	Pages 1	18. <input type="checkbox"/> Other	Pages
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19. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet																																																					
<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part Of prior application no. _____																																																					
Prior Application Information: Examiner _____ Group Art Unit _____																																																					
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.																																																					
20. TOTAL NUMBER OF PAGES <u>42</u>																																																					
21. CORRESPONDENCE ADDRESS																																																					
CUSTOMER NUMBER 490																																																					
Name	Richard Arrett, Reg. No. 33,153																																																				
Signature			Date 3/29/2004																																																		

032904

22763 U.S. PTO

FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

 Applicant claims small entity status. See CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$ 810.00)**METHOD OF PAYMENT** (check all that apply)

Check Credit Card Money Order Other None
 Deposit Account:

Deposit Account Number: 22-0350

Deposit Account Name: Vidas, Arrett & Steinkraus

The Commissioner is authorized to: (check all that apply)
 Charge the fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	\$770.00
1002	340	2002	170	Design filing fee	---
1003	530	2003	265	Plant filing fee	---
1004	770	2004	385	Reissue filing fee	---
1005	160	2005	80	Provisional filing fee	---

SUBTOTAL (1) (\$ 770.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims	7	-20** =	X ---	=
Independent Claims	1	-3** =	X ---	=
Multiple Dependent			X ---	=
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 770.00)

** or number previously paid, if greater; For Reissues, see above

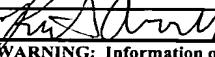
Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Sabastian Hu
Examiner Name	Not Yet Assigned
Art Unit	Not Yet Assigned
Attorney Docket No.	

FEE CALCULATION (continued)

3. ADDITIONAL FEES	Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1051	130	2051	65	Surcharge-late filing fee oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for ex parte reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	420	2252	210	Extension for reply within second month
1253	950	2253	475	Extension for reply within third month
1254	1,480	2254	740	Extension for reply within fourth month
1255	2,010	2255	1,005	Extension for reply within fifth month
1401	330	2401	165	Notice of Appeal
1402	330	2402	165	Filing a brief in support of an appeal
1403	290	2403	145	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive - unavoidable
1453	1,330	2453	665	Petition to revive - unintentional
1501	1,330	2501	665	Utility issue fee (or reissue)
1502	480	2502	240	Design issue fee
1503	640	2503	320	Plant issue fee
1460	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording of each patent assignment per property (times number of properties)
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))
1801	770	2801	385	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application
Other fee (specify) _____				
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 810.00)

SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)	Richard Arrett	Registration No.	33,153	Telephone 952-563-3000
Signature				

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Date 3/29/2004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Sebastian Huther et al
Application No.:	Not Assigned
Filed:	Not Assigned
For:	A BRAKING SYSTEM FOR A BATTERY POWERED INDUSTRIAL TRUCK
Group Art Unit:	Not Assigned

Mail Stop Pat. Appln
Commissioner for Patents **Docket No.: H01.2B-11499-US01**
P.O. Box 1450
Alexandria, VA 22313-1450

**CONSTRUCTIVE PETITION FOR EXTENSION OF TIME AND FEE
AUTHORIZATION PURSUANT TO 37 C.F.R. §1.136(a)(3)**

Applicant hereby requests that the United States Patent and Trademark Office treat any concurrent or future reply requiring a petition for an extension of time pursuant to §1.136 for its timely submission as incorporating therein a petition for an extension of time for the appropriate length of time.

Applicant authorizes the Commissioner of Patents and Trademarks to charge all required extension of time fees that have not otherwise been paid to Deposit Account No. 22-0350.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: 3/29/04

By: _____

Richard A. Arrett
Registration No.: 33153

6109 Blue Circle Drive, Suite 2000
Minnetonka, MN 55343-9185
Telephone: (952) 563-3000
Facsimile: (952) 563-3001